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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/659,642	09/10/2003	David G. Therrien	25452-015	3651
30623	7590	09/22/2006	EXAMINER	
MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C. ONE FINANCIAL CENTER BOSTON, MA 02111			PHAM, MICHAEL	
			ART UNIT	PAPER NUMBER
			2167	

DATE MAILED: 09/22/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/659,642	<b>Applicant(s)</b> THERRIEN ET AL.	
	<b>Examiner</b> Michael D. Pham	<b>Art Unit</b> 2167	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**Detailed Action**

1. Claims 1 - 14 have been examined.
2. Claims 1 - 14 are pending.
3. Claims 1 - 14 are rejected as detailed below.

***Priority***

Application has claimed domestic priority. Accordingly the application has been examined with a priority date of 9/10/2002.

***Specification***

1. Objections to the abstract have been respectfully withdrawn.
2. Objections to the typo on page 4 have been respectfully withdrawn.
3. Objections to figure 3 elements 11 and 13 not being described in specification have been respectfully withdrawn.
4. Objections to figure 11 element 69 not described in the specification have been respectfully withdrawn.
5. Objections to the specification for failing to provide proper antecedent basis for the claimed "selection data" in claims 3 and 4 not disclosed in the specification have been respectfully withdrawn.
6. Specifications are objected to for minor informality. The listed cross referenced applications are missing their serial numbers.

***Claim Rejections - 35 USC § 112***

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The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 13 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. There exists an if statement, however it is indefinite as to what happens if the if statement is false.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 1-4 are rejected under 35 U.S.C. 102(b) as being anticipated by (U.S. patent 5991753) by Wilde (hereafter Wilde).

**Claim 1:**

A method for transferring a set of files, the method comprising:

**receiving at a destination fileserver metadata and a set of stub files associated with the set of files** [Col. 5 lines 40-50, stub file has metadata and location associated with the set of files that migrated to a migration store device.];

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**maintaining a list of repository nodes that are associated with each file in the set of files by updating a location components in the fileserver; and,** [Col. 7 lines 61-63, Col. 8 lines 11-29 and Col. 6 lines 57-67, updating bitfile ID (location) by generating a new bitfile when the modified file is next prestaged or migrated. Further, list is generated of all the files in the file systems that are eligible for migration. ];

**replacing each stub file with a full content of the file associated with the stub file** [col. 7 lines 40-48, file contents accessed, store copy is reloaded and the file becomes resident again.]; **and**

**wherein said replacing includes receiving a client request for a specified file in the set of files** [col. 15 lines 50-53, request to access files];

**replacing the stub file associated with the specified file with a full content of the specified file** [col. 15 lines 60-64 and col. 6 lines 53-57, reloading of file content];.

**Claim 2:**

**Wilde discloses wherein the metadata is received at a destination fileserver from a repository node** [Col. 8 lines 22-29, data migrated to migration store].

**Claim 3:**

**Wilde discloses further comprising: prior to receiving metadata, receiving destination fileserver selection data** [Col. 8 lines 11-29, migration attributes such as storage group of where the file should go to. Col. 13 lines 57-67 to Col. 14 lines 1-4, selection values by administrator].

**Claim 4:**

**Wilde discloses further comprising:**

**Selecting a share of data for receiving at said destination fileserver** [Col. 8 lines 11-29, the list is the share selection data. ].

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

***Claims 5-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5991753 by Wilde (hereafter Wilde) in view of U.S. Patent 5276867 by Kenley et. al. (hereafter Kenley).***

**Claim 5:**

Wilde discloses file migration utilizing **stub files** (col. 5 lines 40-50 and col. 7 lines 40-48).

However Wilde does not explicitly disclose in great detail **wherein the set of files is the set of files that have been accessed during a specified period and wherein replacing each stub file**

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**comprises recursively replacing the stub file associated with the file that was most-recently accessed until all the stub files in the set of files have been replaced.**

On the other hand, Kenley discloses Col. 18 lines 28-67, based in part on time since last access (set of files that have been accessed) and staging out/in (i.e. migration) comprises looping (i.e. generally accomplishes same task as recursion) and replacing files.

Both inventions are directed towards backup and migration systems. Therefore it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde to have included **wherein the set of files is the set of files that have been accessed during a specified period and wherein replacing each stub file comprises recursively<sup>1</sup> replacing the stub file associated with the file that was most-recently accessed until all the stub files in the set of files have been replaced** based on the disclosure of Kenley. One would have been motivated to do so because both Kenley and Wilde must migrate a certain amount of files in order to save space.

**Claim 6:**

**Wilde discloses wherein the specified period is a most-recent period** [Wilde discloses Col. 8 lines 36-37, automatically and transparently to the user. Meaning done at that moment.].

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<sup>1</sup> Recursion itself is not new, and is essentially a looping structure.

*Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5991753 by Wilde (hereafter Wilde) further in view of U.S. Patent 6,490,666 by Cabrera et. al. (hereafter Cabrera).*

**Claim 7:**

Wilde does not explicitly disclose wherein the location component is a location cache. However, Cabrera discloses that the memory locations where data bits are maintained are physical locations that have particular electrical, magnetic, or optical properties corresponding to the data bits. That is location component stored in memory (i.e. ram<sup>2</sup>) [Col. 5 lines 30-34 and Col. 2 lines 33-34]. All inventions are directed towards Hierarchal storage systems. Therefore it would have been obvious at the time the invention was made to have modified Wilde to have included wherein the **location component is a location cache** based on the disclosure of Cabrera. A skilled artisan would have been motivated to do so for the purpose of manipulating data structures recorded in main memory to store, insert, and search for requested data retrieved from secondary storage [Col. 5 lines 35-37].

*Claims 8, 11, and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5991753 by Wilde (hereafter Wilde) in view of U.S. Patent Application Publication 2004/0083202 by Mu et. al. (hereafter Mu).*

**Claim 8:**

**A data protection system comprising:**

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<sup>2</sup> Cache memory is a type of random access memory (RAM).



**a recovery service in communication with the fileserver API and with the file system and operative to transfer a set of files [Wilde, col. 1 lines 35-40, migration is a recovery service], the recovery service having:**

**a receiving component operative to receive metadata and stub files associated with the set of files at the fileserver[Wilde, Col. 5 lines 40-50, stub file has metadata and location associated with the set of files that migrated to a migration store device.];**

**a location updating component in communication with the receiving component and operative to maintain a list of repository nodes that are associated with each file in the set of files[Wilde, Col. 7 lines 61-63, Col. 8 lines 11-29 and Col. 6 lines 57-67, updating bitfile ID (location) by generating a new bitfile when the modified file is next prestaged or migrated. Further, list is generated of all the files in the file systems that are eligible for migration. ]; and**

**a stub file replacement component in communication with the receiving component and operative to replace each stub file with the full content of the file associated with the stub file [Wilde, col. 7 lines 40-48, file contents accessed, store copy is reloaded and the file becomes resident again.].**

**a fileserver [Wilde, Col. 1 line 52, fileserver] having:**

**file system operative to store client files [Wilde does disclose Abstract and Col. 1 lines 40-65, users have files (i.e. client files) and there is a filing system,];**

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However, Wilde does not explicitly <sup>3</sup>discloses a **fileserver API operative to communicate with a repository, and a fileserver file transfer module in communication with the file system and operative to receive files for the file system from at least one repository.**

On the other hand, Mu discloses data processing system coupled to storage resources via communication links [0037]. Further disclosing One or more client computers may also be coupled to data processing system via communication links.

Both inventions are directed to file migration and HSM. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde to have included a **fileserver API operative to communicate with a repository, and a fileserver file transfer module in communication with the file system and operative to receive files for the file system from at least one repository** based on the disclosure of Mu. A skilled artisan would have been motivated to do for the purpose of providing *communication* to storage resources and data processing systems when connected to a network. Furthermore, allowing exact storage locations to be applied correctly in a storage hierarchy 0006 and 0013.

**Claim 11:**

**As to claim 11, further comprising a local repository having:**

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<sup>3</sup> Wilde does discloses col. 1 lines 50-65, managing data repositories for networks of workstations and file servers, and Figure 1, network transfers files and further col. 5 lines 20-30, moving files.

**a local repository node API adapted for communicating with the fileserver API [Mu, 0037, One or more client computers may also be coupled to data processing system via communication link];**

**a local repository file transfer module in communication with the fileserver file transfer module and adapted for transferring files to the fileserver file transfer module [Mu, 0037]; and**

**a data mover in communication with the local repository API and operative to supervise the replication of files from the local repository to the fileserver[Wilde, Col. 5 lines 20-30, transition file maintained during replication process.].**

**Claim 12:**

**As to claim 12, wherein the fileserver API is operative to communicate with a network and wherein the system further comprises:**

**a remote repository having:**

**a remote repository node API adapted for communicating with the network [Mu, 0037, One or more client computers may also be coupled to data processing system via communication link];**

**a remote repository file transfer module in communication with the local file transfer module and adapted for transferring files to the fileserver file transfer module [Mu, 0037]; and**

**a data mover in communication with the remote repository API and operative to supervise the replication of files from the remote repository to the fileserver**[Wilde, Col. 5 lines 20-30, transition file maintained during replication process.].

*Claims 9-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S.*

*Patent 5991753 by Wilde (hereafter Wilde) and U.S. Patent Application Publication 2004/0083202 by Mu et. al. (hereafter Mu) further in view of U.S. Patent 5276867 by Kenley et. al. (hereafter Kenley) and U.S. Patent Application Publication 2003/0078946 by Costello et. al (hereafter Costello).*

**Claim 9:**

As to claim 9, further comprising

**a policy cache operative to store a protection policy associated with a share** [Wilde, Col. 13 lines 57-67 and col. 14 lines 1-4, a policy for performing migration.]

However, Wilde and Mu do not explicitly <sup>4</sup>disclose **a filter driver operative to intercept input/output activity initiated by client file requests and to maintain a list of modified and created files since a prior backup;**

On the other hand Kenley discloses "...In another aspect of the invention, VOLUME-REQUEST signals includes signals representative of relative priority of the volume requests, and the

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<sup>4</sup> Wilde discloses a candidate list for migration.

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scheduler element has a priority scheduling element for establishing the schedule in accordance with the volume request priorities. A preemption element in the scheduler enables preemptive insertion of high-priority-request data volumes in place of low-priority-request data volumes.” (col. 4 lines 9-17). That is, a type of filter by client requests.

All inventions are directed towards data migration. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde and Mu to have included the step of **a filter driver operative to intercept input/output activity initiated by client file requests and to maintain a list of modified and created files since a prior backup** based on the disclosure of Kenley. A skilled artisan would have been motivated to do so for the purpose of allowing the user to obtain the most important file (i.e. most prioritized file) at the current time rather than waiting until the system processes all minor files.

Lastly, Wilde, Mu, and Kenley do not explicitly disclose a mirror service.

However, Costello discloses a mirror service wherein mirroring may be used in conjunction with striping in which different portions of data volume are written to different disks to increase speed access (0082).

All inventions are directed to data storage and in particular provide a hierarchical storage management system. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde, Mu, and Kenley to have included the step of

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**a mirror service in communication with the filter driver and with the policy cache,**  
**the mirror service operative to prepare modified and created files in a share to be**  
**written to a repository as specified in the protection policy associated with the share**  
based on the disclosure of Costello. A skilled artisan would have been motivated to provide a mirror service because it would increase the availability of data in mass storages [0082].

Managing system data is a goal of all inventions.

**Claim 10:**

**As to claim 10, the system of claim 9 further comprising:**

**a location cache in communication with the mirror service and operative to indicate**  
**which repository should receive an updated version of an existing file** [Costello discloses in 0084, a volume manager that updates are made on all nodes in the cluster or none of the nodes using two-phase commit logic. After cluster initialization it is the config server that coordinates changes. The mirror server maintains the mirror specific state information about whether a revive is needed and which mirror legs are consistent. That is, determining updates to versions.];  
and

**a location manager coupled to the location cache and operative to update the location**  
**cache when the system writes a new file to a specific repository node** [Wilde discloses Col. 7 lines 61-63, Col. 8 lines 11-29 and Col. 6 lines 57-67, updating bitfile ID (location) by generating a new bitfile when the modified file is next prestaged or migrated. Further, list is generated of all the files in the file systems that are eligible for migration. ].

*Claims 13-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5991753 by Wilde (hereafter Wilde) in view of U.S. Patent Application Publication 2004/0083202 by Mu et. al. (hereafter Mu) and U.S. Patent Application Publication 2003/0078946 by Costello et. al (hereafter Costello).*

**Claim 13:**

**A method for storing data, the method comprising: providing a fileserver having:**

**a file system operative to store client files** [Wilde does disclose Abstract and Col. 1 lines 40-65, users have files (i.e. client files) and there is a filing system];

**a policy component operative to store a protection policy associated with a set of files** [Col. 13 lines 57-67 to col. 14 lines 1-4 policy factors. And Col. 14 lines 30-34, protection of files from migrating.];

**determining a caching level as stored in the policy component** [Col. 8 lines 1-10 and col. 13 lines 57-67 to col. 14 lines 1-4]; **and comparing the caching level against the utilization** [col. 8 lines 1-10]; **recursively, determining a utilization of the fileserver** [Col. 8 lines 1-10]; and

**if the utilization exceeds the caching level** [col. 8 lines 1-10], then

**creating a file migration candidate list** [col. 8 lines 11-21];

**staging out one candidate file** [Col. 7 lines 40-65, resident and non-resident that is staging in and staging out];

**replacing the candidate file with a stub file** [Col. 8 lines 22-29 and col. 5 lines 40-50]; and

**determining if the utilization of the fileserver still exceeds the caching level** [Col. 8 lines 1-10].

However, Wilde does not explicitly <sup>5</sup>discloses **a fileserver file transfer module in communication with the file system and operative to transfer files from the file system to at least one repository.**

On the other hand, Mu discloses data processing system coupled to storage resources via communication links [0037]. Further disclosing One or more client computers may also be coupled to data processing system via communication links.

Both inventions are directed to file migration and HSM. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde to have included **a fileserver file transfer module in communication with the file system and operative to transfer files from the file system to at least one repository** based on the disclosure of Mu. A skilled artisan would have been motivated to do for the purpose of providing *communication* to storage resources and data processing systems when connected to a network.

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<sup>5</sup> See footnote 4.



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Although both Wilde and Mu do not explicitly disclose a mirror service.

However, Costello discloses a mirror service wherein mirroring may be used in conjunction with striping in which different portions of data volume are written to different disks to increase speed access (0082).

All inventions are directed to data storage and in particular provide a hierarchical storage management system. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde and Mu to have included the steps of

**a mirror service in communication with the policy cache, the mirror service operative to prepare modified and created files in a set of files to be written to a repository as specified in the protection policy associated with the set of files;**

**a fileserver API coupled to the mirror service and operative to communicate with a repository;**

based on the disclosure of Costello. A skilled artisan would have been motivated to provide a mirror service because it would increase the availability of data in mass storages [0082]. This would further managing system data which is a goal of all inventions.

**Claim 14:**

**As to claim 14, Wilde discloses wherein said determining if the utilization of the fileserver still exceeds the caching level further comprises staging out another candidate file on the candidate list and again determining if the utilization of the fileserver exceeds the caching level [col. 8 lines 11-29, migrating files until utilization percentage is down to a selected level.].**

**Claim 15 (NEW):**

Wilde discloses the method of claim 1; however, Wilde does not explicitly<sup>6</sup> disclose **wherein said replacing the stub file for the specified file is a higher priority task than replacing the stub files for non-requested files.**

On the other hand, Kenley discloses "...In another aspect of the invention, VOLUME-REQUEST signals includes signals representative of relative priority of the volume requests, and the scheduler element has a priority scheduling element for establishing the schedule in accordance with the volume request priorities. A preemption element in the scheduler enables preemptive insertion of high-priority-request data volumes in place of low-priority-request data volumes." (col. 4 lines 9-17).

Both inventions are directed towards data migration. Therefore, it would have been obvious to one of ordinary skill at the time the invention was made to have modified Wilde to have included the step of **replacing the stub file for the specified file is a higher priority task than replacing the stub files for non-requested files** based on the disclosure of Kenley. A skilled artisan would have been motivated to do so for the purpose of allowing the user to obtain the most important file (i.e. most prioritized file) at the current time rather than waiting until the system processes all minor files.

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***Response to Arguments***

Applicant's arguments filed 6/23/2006 have been fully considered but they are not persuasive. Applicant's assert the following:

1. That Wilde retains a stub file after the original file has been truncated to a smaller size with the insertion of additional information necessary to recognize the file as a stub file, to locate the bit file, and to preserve any altered attributes. This is different than receiving metadata and stub files associated with the set of files at a destination fileserver as recited in claim 1. Wilde migrates a copy of an entire file rather than a stub file. Wilde retains a stub file that contains information about the file. In contrast, the present invention receives at the destination server metadata and a set of stub files associated with the files.

*In response, the examiner respectfully disagrees. The prior claim limitation stated receiving metadata and stub files associated with the set of files at a destination fileserver. The new limitation similarly, states that the method comprises receiving at a destination fileserver metadata and a set of stub files associated with the set of files. Wilde discloses receiving a stub files at a destination server. E.g. When a file is transferred to a destination server, the stub file is sent to it's destination server which is the source server. However, as disclosed in the Wilde, the stub file is not limited to being sent to the source server. Col. 7 lines 13-15, discloses that stub files can be moved between different file systems. Hence the attributes (metadata) and stub files can be moved to a destination server.*

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2. That Wilde generates a list of files in the file system that are eligible for migration. From the list, a sufficient number of files is selected and migrated to bring the file system utilization percentage down. That this is different than maintaining a list of repository nodes that are associated with each file in the set of files by updating a location components in the fileserver, as recited in claim 1.

*In response, the examiner respectfully disagrees. That Wilde does not disclose maintaining a list of repository nodes that are associated with each file in the set of files by updating a location component in the fileserver. Wilde Col. 14 lines 30-42 discloses that a list of path names that should be excluded from migration (e.g. path names can be places of storage). Further suggesting in the citation that the files are able to be migrated into different storage groups within the migration store. Hence it appears that a list of repository nodes that are associated with each file in the set of files by updating a location components in the fileserver as recited in claim 1 is suggested.*

3. That Applicant's request support for the statement most often hit websites have highest priority because of their relevancy.

*The statement is retracted. The argument is now moot.*

4. That Kenley does not disclose receiving metadata and stub files associated with the set of files at a destination fileserver; updating a location component in the destination fileserver to maintain a list of repository nodes that are associated with each file in the set of files.

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*Please see 1 and 2 of the response to arguments.*

5. That Cabrera fails to disclose a location component that maintains a list of repository nodes associated with the set of files, as recited in claim 1. Further failing to disclose receiving metadata and stub files associated with a set of files, as recited in claim 1.

*Please see 1 and 2 of the response to arguments.*

6. That Wilde does not disclose a recovery service in communication with the fileserver API and with the file system and operative to transfer a set of files, the recovery service having: a receiving component operative to receive metadata and stub files associated with the set of files at the fileserver and a location updating component in communication with the receiving component and operative to maintain a list of repository nodes that are associated with each file in the set of files as recited in claim 8.

Nor does Mu teach this.

*Please see 1 and 2 of the response to arguments.*

7. Costello fails to disclose a recovery service in communication with the fileserver API and with the file system and operative to transfer a set of files, the recovery service having : a receiving component operative to receive metadata and stub files associated with the set of files at the fileserver and a location updating component in communication with the receiving component and operative to maintain a list of repository nodes that are associated with each file in the set of files as recited in claim 8.

*Please see 1 and 2 of the response to arguments.*

### ***Conclusion***

The prior art made of record listed on PTO-892 and not relied upon, if any, is considered pertinent to applicant's disclosure.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael D. Pham whose telephone number is (571)272-3924 and fax number (571) 273 - 3924. The examiner can normally be reached on Monday - Friday 9am - 5:00pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cottingham can be reached on 571-272-7079. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.


Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael Pham  
Art Unit 2167  
Examiner  
8/29/2006 *MP*

John Cottingham  
Art Unit 2167  
Supervisor

Debbie Le  
Art Unit 2168  
Primary Examiner

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